/B 3001 (Effective 1 21) UNITED STATES BANKRUPTCY COURT FOR THE DISTR	PROOF OF INTEREST
Name of Debtor:	Case Number:
META Materials	24-50792
 Name and address of holder of the Equity Interest (the person or entity holding an Equity Interest in the Debtor. Referred to hereinafter as the "Interest holder"): 	☐ Check box if you are aware that anyone else has filed a proof of interest relating to your interest. Attach copy of statement giving particulars. RECEIVED AND FILED
VUONG M. TRAN	■ Check box if you have never received DEC 0 3 2024
PSC 808 BOX 3367	any notices from the bankruptcy court or
FPO, AE 09618	the Debtors in this case. U.S. BANKRUPTCY COUR
Telephone Number:	the address on the envelope sent to you by the Debtors.
NOTE: This form SHOULD NOT be used to make a claim against the Debtor form should be used for that purpose. This form should only be used to assert interest is any right arising from any capital stock and any equity security in an in the Bankruptcy Code as (a) a share in a corporation whether or not transfer security, (b) interest of a limited partner in a limited partnership, or (c) warran purchase, sell, or subscribe to a share, security, or interest of a kind specified in	an Equity Interest in the Debtor. An Equity ny of the Debtor. An equity security is defined rable or denominated stock or similar nt or right other than a right to convert, to
Account or other number by which Interest holder identifies Debtor:	Check here if this claim:
7777-1696	☐ replaces a previously filed Proof of Interest dated:
2. Name and Address of any person or entity that is the record holder for the Equity Interest asserted in this Proof of Interest:	3. Date Equity Interest was acquired:
Charles Schwab	
	Various Dates - See attached supporting documents
Telephone Number: 1-800-435-4000	
4. Total amount of member interest: 2850	5. Certificate number(s):
6. Type of Equity Interest: Please indicate the type of Equity Interest you hold: ☐ Check this box if your Equity Interest is based on an actual member interes ☐ Check this box if your Equity Interest is based on anything else and describ Description:	
 Supporting Documents: Attach copies of supporting documents, such as stood DO NOT SEND ORIGINAL DOCUMENTS. If the documents are not available. 	ck certificates, option agreements, warrants, etc. ble, explain. If the documents are voluminous, attach a summary.
 Date-Stamped Copy: To receive an acknowledgement of the filing of your Pr Interest. 	roof of Interest, enclose a stamped, self-addressed envelope and copy of this Proof
9. Signature: Check the appropriate box.	
■ I am the creditor. □ I am the creditor's authorized agent. (Attach copy of power of attorney, if any.) □ I am the tortheir authorized agent.	trustee, or the debtor, orized agent. (See Bankruptcy Rule 3005.)
I declare under penalty of perjury that the information provided in this claim is true	e and correct to the best of my knowledge, information, and reasonable belief.
Print Name: VUONG TRAN	
Title: Company: Address and telephone number (if different from notice	20 Nou 20211
	· March (4) CONOVER
address above):	(Signature) (Date)
address above):	(Signature) (Signature) (Date) Telephone number: email: $\frac{1}{raian}h\frac{1}{toiOh}$

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MMAT Purchase Individual Investment Account

DATE	DESCRIPTION	QUANTITY	F	RICE
July 9, 2021	TRANSFER OF SECURITY OR OPTION IN (MMAT)	5,000		
July 12, 2021	Bought 3000 MMAT @ 3.99	3,000	\$	3.990
July 14, 2021	Bought 4000 MMAT @ 3.7	4,000	\$	3.700
July 20, 2021	Bought 6000 MMAT @ 3.25	6,000	\$	3.250
August 23, 2021	Bought 5000 MMAT @ 3	5,000	\$	3.000
	Bought 10000 MMAT @ 3.75	10,000	\$	3.750
December 1, 2021	Bought 8000 MMAT @ 3.3999	8,000	\$	3.400
December 28, 2021	Bought 10000 MMAT @ 2.55	10,000	\$	2.550
January 7, 2022	Bought 20000 MMAT @ 2.45	20,000	\$	2.450
January 13, 2022	Bought 3900 MMAT @ 2.24	3,900	\$	2.240
January 21, 2022	Bought 20000 MMAT @ 1.8	20,000	\$	1.800
January 27, 2022	Bought 15000 MMAT @ 1.55	15,000	\$	1.550
April 20, 2022	Bought 10000 MMAT @ 1.38	10,000	\$	1.380
April 27, 2022	Bought 3000 MMAT @ 1.18	3,000	\$	1.180
April 27, 2022	Bought 100 MMAT @ 1.17	100	\$	1.170
May 10, 2022	Bought 2600 MMAT @ 1.13	2,600	\$	1.130
June 29, 2022	Bought 5000 MMAT @ 1.05	5,000	\$	1.050
June 29, 2022	Bought 1400 MMAT @ 1.04	1,400	\$	1.040
August 29, 2022	Bought 10000 MMAT @ 0.79	10,000	\$	0.790
November 10, 2022	Bought 3000 MMAT @ 1.25	3,000	\$	1.250
November 17, 2022	Bought 6900 MMAT @ 1.7	6,900	\$	1.700
November 17, 2022	Bought 100 MMAT @ 1.6831	100	\$	1.683
April 12, 2023	Bought 8000 MMAT @ 0.4	8,000	\$	0.400
May 1, 2023	Bought 30000 MMAT @ 0.185	30,000	\$	0.185
June 22, 2023	Bought 20000 MMAT @ 0.2	20,000	\$	0.200
July 31, 2023	Bought 505 MMAT @ 0.245	505	\$	0.245
August 4, 2023	Bought 505 MMAT @ 0.2441	505	\$	0.244
September 13, 2023	Bought 5990 MMAT @ 0.2194	5,990	\$	0.219
September 28, 2023	Bought 13000 MMAT @ 0.2	13,000	\$	0.200
	Bought 30000 MMAT @ 0.205	30,000	\$	0.205
December 5, 2023	Bought 15000 MMAT @ 0.07	15,000	\$	0.070
December 29, 2023	Bought 10000 MMAT @ 0.066	10,000	\$	0.066
	TOTAL SHARES PURCHASED:	285,000		
	AFTER 100 REVERSE SPLIT	2,850		

J.P.Morgan TFR ON DEATH IND (Acct # 834-63365)

VUONG M TRAN

Statement Period: May 31 - June 30, 2021

J.P. Morgan Self-Directed Investing

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	Δequisition						Unrealized	Est. Accrued Inc.
Description	Date	Quantity	Price	Market Value	Unit Cost	Cost Basis	Gain/Loss	Est. Annual Inc.
KINDER MORGAN INC								
	07 Dec 2015	700		12,761.00	13.85	9,692.25	3,068.75 LT	
	12 Mar 2018	980		17,865.40	14.5	14,210.52	3,654,88 LT	
	15 May 2019	47,39252		863.97	18.6	381.61	(17.64) LT	
	15 Aug 2019	48.32575		880.98	18.51	894.62	(13.64) LT	
	15 Apr 2020	2,000		36,460.00	13.35	26,695.00	9,765.00 LT	
	18 Feb 2021	2,000		36,460.00	14.8	29,600.00		
META MATLS INC	24 Jun 2021	5,000	7.49	37,450.00	9.84	49,200.00	(11,750.00) ST	1
COMINION STOCK Symbol: MMAT								1
PFIZER INC EST YIELD: 3.98% Symbol: PFE	11 Feb 2021	1,000	39.16	39,160.00	34.5	34,500.00	4,660.00 ST	1,560.00
ROCKET COMPANIES INC		5,800	19.35	112,230.00	22.54	130,751.88	(18,521.88)	1 1
Symbol: RKT	30 Mar 2021	200		3,870.00	24.01	4,801.64	(931.64) ST	
	30 Mar 2021	200		3,870.00	24.09	4.817.70		
	30 Mar 2021	200		3,870.00 3,870.00	24.07 24.09	4,814.54 4.818.00	(944.54) ST	
	03 May 2021	5,000		96,750.00	22.3	111,500.00		
P SOFI TECHNOLOGIES INC COMMON STOCK Symbol: SOFI	29 Jun 2021	2,000	19.17	38,340.00	19.19	38,380.00	(40.00) ST	1 1
P CALL SOFI 07/02/21 19.50 SOFI TECHNOLOGIES INC Symbol: SOFI JUL 21 CALL 19.50	30 Jun 2021	(20.0)	0.505	(1,010.00)	0.ස	(1,266.30)	256.30 ST	1 1
TOTAL EQUITIES				\$675,414.11		\$606,242.54	\$69,171.57	 \$10,065.78
								Alberta market

P Position reflects trades executed pending settlement

See additional footnotes on the last page of the Holdings section.

STATEMENT SUMMARY

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BROKERAGE

Please read the important disclosures at the end of the statement. For questions, please contact us using the information provided on the front of this statement.

IMPORTANT INFORMATION

Statement for Account # 250-048122 07/01/21 - 07/31/21

07/09/21	07/09/2	07/09/21	07/09/21	07/09/21	07/07/21	07/06/21	07/09/21	07/09/21	Trade Date
07/09/21	07/09/21 07/09/21 Margin	07/09/21	07/09/21	07/09/21		07/06/21	07/09/21	07/09/21	Settle Date
Wargin	Margin	Margin	Margin	Margin	Margin	Margin	Cash	Cash	Acct Type
Received -	Received -	Received -	Received -	Received -	Journal - Other	Journal - Other	Journal - Other	Journal - Funds Deposited	Transaction/ Cash Activity*
TOA FROM JP MORGAN SECURITIES SD 07/09/2021 0352 PFIZER INC COM TOA FROM JP MORGAN SECURITIES SD 07/09/2021 0352	SECURITIES SD 07/09/2021 0352 META MATERIALS INC	SECURITIES SD 07/09/2021 0352 KINDER MORGAN INC COM TOA FROM JP MORGAN	SECURITIES SD 07/09/2021 0352 FORD MOTOR CO COM TOA FROM JP MORGAN	AMC ENTERTAINMENT HOLDINGS INC COM CL A COM CL A	TRANSFER CASH BALANCE TO MARGIN	TRANSFER FROM 250-048122-1 TO 250-048122-2	TRANSFER CASH BALANCE TO MARGIN	Cash Award	Account Activity Description
PFE	MIMAT	KMI	П	AMC		ı	t		Symbol/ CUSIP
1,000	5,000	7,875	16,816	1,000	1 1	1	ı	1 1	Quantity
0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	Price
,	0:00 80,566.30	,	1	,	150.00	5.00	(850.00)	300.00 400.00	Amount
80,566.30	80,566.30	80,566.30	80,566.30	80,566.30	80,155.00	5.00	0.00	450.00 850.00	Balance

Statement for Account # 250-048122 07/01/21 - 07/31/21

														Ī	
07/20/21	07/19/21	07/16/21	07/15/21	07/14/21	07/12/21	07/12/21	07/13/21	07/09/21	07/09/21	07/09/21		07/09/21	07/09/21	Date	Trade
07/21/21	07/19/21	07/19/21	07/19/21	07/16/21	07/12/21 07/14/21 Margin	07/14/21	07/13/21	07/12/21	07/09/21	07/09/21		07/09/21	07/09/21	Date	Settle
Margin	Margin	Margin	Margin	07/14/21 07/16/21 Margin	Margin	Margin	Margin	Margin	Margin	Margin		Margin	Margin	Type	Acct
Sell - Securities Sold	Delivered - Other	Buy - Securities Purchased	Buy - Securities Purchased	Buy - Securities Purchased	Buy - Securities Purchased	Buy - Securities Purchased	Journal - Funds Deposited	- Funds Deposited	Journal - Other	Journal - Funds Deposited		Received -	Received -	Casil Activity	Transaction/
ROCKET COMPANIES INC RKT Jul 23 21 16.0 P TO OPEN Commission/Fee 19.50 Regulatory Fee 0.48	META MATERIALS INC MMAT Jul 16 21 3.0 P EXPIRATION	META MATERIALS INC MMAT Jul 16 21 3.0 P TO OPEN Commission/Fee 26.00 Regulatory Fee 0.55	BEYOND COMMERCE INC COM Commission/Fee 6.95	META MATERIALS INC	META MATERIALS INC	AMC ENTERTAINMENT HOLDINGS INC COM CL A	TOA CASH BALANCE IN	ACH IN	TRANSFER CASH BALANCE TO MARGIN	TOA CASH BALANCE IN	TOA FROM JP MORGAN SECURITIES SD 07/09/2021 0352	SOFI TECHNOLOGIES INC	COM CL A TOA FROM JP MORGAN SECURITIES SD 07/09/2021 0352	Description	
	ı	1	вуос	MMAT	MWAT	AMC	1	1	1	1		SOFI	2	000	Symbol/
30-	40-	40	1,000,000	4,000	3,000	200	1 1	1	ı	1		2,000	0,000	£ 000	Ouantity
0.13	0.00	0.01	0.0018	3.70	3.99	42.60	0.00	0.00	0.00	0.00		0.00	c.c.		Price
370.02	,	(66.55)	(1,806.95)				14.63	5,000.00	850.00	127,267.47		ŧ			Amount
176,904.92	176,534.90	176,534.90	176,601.45	178,408.40	193,208.40	205,178.40	213,698.40	213,683.77	208,683.77	207,833.77		80,566.30	00,000	00 555 00	Balance

Statement for Account # 250-048122 07/01/21 - 07/31/21

07/26/21	07/26/21	07/26/21	07/23/21		07/23/21	07/22/21	07/22/21		07/21/21	07/20/21	07/20/21	07/20/21	Trade Date
07/26/21	07/26/21	07/26/21	07/26/21		07/26/21	07/26/21	07/23/21		07/22/21	07/20/21 07/22/21	07/21/21	07/21/21	Settle Date
Margin	Margin	Margin	Margin		Margin	Margin	Margin	(Margin	Margin	Margin	Margin	Acct Type
Received - Other	Received - Other	Received - Other	Sell - Securities Sold		Sell - Securities Sold	Buy - Securities Purchased	Sell - Securities Sold		Sell - Securities Sold	Buy - Securities Purchased	Sell - Securities Sold	Sell - Securities Sold	Transaction/ Cash Activity*
KINDER MORGAN INC KMI Jul 23 21 18.5 C EXPIRATION	FORD MOTOR CO F Jul 23 21 14.5 C EXPIRATION	ROCKET COMPANIES INC RKT Jul 23 21 16.0 P EXPIRATION	AMC ENTERTAINMENT FOLDINGS INC AMC Jul 23 21 32.0 P TO OPEN Commission/Fee 0.65 Regulatory Fee 0.01	INC AMC Jul 23 21 32.0 P TO OPEN Commission/Fee 6.50 Regulatory Fee 0.16	AMC ENTERTAINMENT HOLDINGS	DARE BIOSCIENCE INC	SOFI TECHNOLOGIES INC SOFI Jul 23 21 15.5 P TO OPEN Commission/Fee 6.50 Regulatory Fee 0.16	KMI Jul 23 21 18.5 C TO OPEN Commission/Fee 50.70 Regulatory Fee 1.24	KINDER MORGAN INC	META MATERIALS INC	FORD MOTOR CO F Jul 23 21 14.5 C TO OPEN Commission/Fee 1.95 Regulatory Fee 0.05	PFIZER INC PFE Jul 23 21 42.5 C TO OPEN Commission/Fee 6.50 Regulatory Fee 0.16	Description
•	1	1			1	DARE	•		1	MMAT	1	,	Symbol/ CUSIP
78	ω	30	,	.	10-	10,000	10-		78-	6,000	ç	10-	Quantity
0.00	0.00	0.00) 7	0.13	1.49	0.17		0.03	3.25	0.06	0.21	Price
ı	1	1	- - - - -	2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2	123.34	(14,900.00)	163.34		182.06	(19,500.00) 157,624.26	16.00	203.34	Amount
143,205.34	143,205.34	143,205.34	- 1 4		143,193.00	143,069.66	157,969.66		157,806.32	157,624.26	1/7,124.26	1/7,108.26	Balance

Statement for Account # 250-048122 08/01/21 - 08/31/21

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	Balance
08/23/21	08/23/21	Margin	Received - Other	SOFI TECHNOLOGIES INC SOFI Aug 20 21 14.5 P OPTION ASSIGNED	,	30	0.00		198,194.82
08/23/21	08/23/21	Margin	Received - Other	NEWEGG COMMERCE INC NEGG Aug 20 21 15.0 P EXPIRATION	ı	20	0.00	,	198,194.82
08/23/21	08/23/21	Margin	Received - Other	SQUARE INC SQ Aug 20 21 250.0 P EXPIRATION	•	ω	0.00	j	198,194.82
08/23/21	08/23/21	Margin	Received - Other	ZILLOW GROUP INC Z Aug 20 21 95.0 P EXPIRATION	ı	10	0.00	,	198,194.82
08/23/21	08/23/21 08/24/21 Margin	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	5,000	3.00	(15,000.00) 183,194.82	183,194.8
08/23/21	08/24/21	Margin	Buy - Securities Purchased	SOFI TECHNOLOGIES INC	SOFI	3,000	14.50	(43,500.00)	139,694.82
08/23/21	08/24/21	Margin	Sell - Securities Sold	FORD MOTOR CO F Aug 27 21 13.5 C TO OPEN Commission/Fee 109.20 Regulatory Fee 2.23	1	168-	0.03	392.57	140,087.39
08/24/21	08/25/21	Margin	Sell - Securities Sold	SOFI TECHNOLOGIES INC SOFI Aug 27 21 16.5 C TO OPEN Commission/Fee 32.50 Regulatory Fee 0.66	ı	50-	0.02	66.84	140,154.23
08/30/21	08/30/21	Margin	Received - Other	FORD MOTOR CO F Aug 27 21 13.5 C EXPIRATION	•	168	0.00	•	140,154.23
08/30/21	08/30/21	Margin	Received - Other	SOFI TECHNOLOGIES INC SOFI Aug 27 21 16.5 C EXPIRATION	,	50	0.00		140,154.23
08/31/21	08/31/21	Margin	Div/Int - Income	INTEREST CREDIT Payable: 08/31/2021	ı	ı	0.00	1.51	140,155.74

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Statement for Account # 250-048122 11/01/21 - 11/30/21

Trade	Settle	Acct	Transaction/	Dagariation	Symbol/ CUSIP	Quantity	Price	Amount	Balance
Date	Date	Type	Casil Activity	Description	000	Manney			
11/22/21	11/23/21	Margin	Buy - Securities Purchased	ROCKET COMPANIES INC RKT Nov 26 21 15.0 P TO CLOSE Commission/Fee 32.50 Regulatory Fee 0.47	1	50	0.18	(932.97)	90,690.82
11/22/21	11/23/21 Margin	Margin	Sell - Securities Sold	FORD MOTOR CO F Dec 17 21 25.0 C TO OPEN Commission/Fee 109.20 Regulatory Fee 1.93		168-	0.11	1,736.87	92,427.69
11/22/21	11/23/21 Margin	Margin	Sell - Securities Sold		1	12-	0.65	772.07	93,199.76
				AMC Dec 03 21 60.0 C TO OPEN Commission/Fee 7.80 Regulatory Fee 0.13					
11/23/21	11/26/21	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	10,000	3.75	(37,500.00)	55,699.76
11/23/21	11/26/21	Margin	Sell - Securities Sold	BM TECHNOLOGIES INC COM CL A Regulatory Fee 0.92	BMTX	4,955-	13.2037	65,423.41	121,123.17
11/23/21	11/26/21	Margin	Sell - Securities Sold	BM TECHNOLOGIES INC COM CL A Regulatory Fee 0.01	BMTX	45-	13.40	602.99	121,726.16
11/29/21	11/29/21	Margin	Received - Other	LORDSTOWN MOTORS CORP RIDE Nov 26 21 6.0 C EXPIRATION	1	70	0.00	1	121,726.16
11/26/21	11/30/21	Margin	Buy - Securities Purchased	APTEVO THERAPEUTICS INC COM	APVO	2,000	12.89	(25,780.00)	95,946.16
11/26/21	11/30/21	Margin	Buy - Securities Purchased	APTEVO THERAPEUTICS INC COM	APVO	4,000	13.00	(52,000.00)	43,946.16
11/26/21	11/30/21	Margin	Buy - Securities Purchased	BRIGHT HEALTH GROUP INC COM	BHG	7,000	3.63	(25,410.00)	18,536.16
11/30/21	11/30/21	Margin	Div/Int - Income	INTEREST CREDIT Payable: 11/30/2021	ı	ı	0.00	0.87	18,537.03

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*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Statement for Account # 250-048122 12/01/21 - 12/31/21

12/28/21	12/28/21	12/27/21	12/20/21	12/09/21	12/09/21	12/09/21	12/06/21	12/06/21	12/06/21	12/01/21	12/01/21	11/30/21	Date	1
12/30/21	12/30/21	12/29/21	12/20/21	12/13/21	12/13/21	12/13/21	12/08/21	12/08/21	12/07/21	12/03/21	12/03/21	12/02/21	Date	9 E
Margin	Margin	Margin	Margin	Margin	Margin	Margin	Margin	Margin	Margin	Margin	Margin	Margin	Туре	Ī
Buy - Securities Purchased	Sell - Securities Sold	Sell - Securities Sold	Received - Other	Buy - Securities Purchased	Sell - Securities Sold	Sell - Securities Sold	Sell - Securities Sold	Buy - Securities Purchased	 Funds Deposited 	Buy - Securities Purchased	Buy - Securities Purchased	Sell - Securities Sold	Cash Activity*	T-55000+1001
META MATERIALS INC COM	LORDSTOWN MOTORS CORP COM CL A Regulatory Fee 0.90	LORDSTOWN MOTORS CORP COM CL A Regulatory Fee 0.08	FORD MOTOR CO F Dec 17 21 25.0 C EXPIRATION	PIONEER POWER SOLUTIONS INC PPSI COM	BRIGHT HEALTH GROUP INC COM Regulatory Fee 2.37	BRIGHT HEALTH GROUP INC COM Regulatory Fee 0.03	KINDER MORGAN INC COM Regulatory Fee 0.60	CF ACQUISITION CORP VI COM CL A	ACH IN	META MATERIALS INC COM	PIONEER POWER SOLUTIONS INC PPSI COM	ISPECIMEN INCCOM Regulatory Fee 1.06	Description	Account Activity
MMAT	RIDE	RIDE	•	NC PPSI	BHG	BHG	XM	CFVI		MMAT	NC PPSI	Z C	CUSIP	
10,000	6,433-	567-	168	7,900	16,800-	200-	3,000-	5,000	ı	8,000	5,000	5,000-	Quantity	
2.55	3.90	4.03	0.00	9.60	4.34	4.345	15.93	14.50	0.00	3.3999	9.00	18.00	Price	
(25,500.00)	25,087.80	2,284.93	1	(75,840.00)	72,909.63	868.97	47,789.40	(72,500.00)	25,000.00	(27,199.20)	(45,000.00)	89,998.94	Amount	
2,282.57	27,782.57	2,694.77	409.84	409.84	76,249.84	3,340.21	2,471.24	(45,318.16)	27,181.84	2,181.84	29,381.04	74,381.04	Balance	

Statement for Account # 250-048122 01/01/22 - 01/31/22

										1			. 1	_	
01/21/22	01/24/22	01/21/22	01/21/22	01/21/22	01/14/22	01/13/22	01/07/22	01/04/22	01/03/24	Opening Balance	Trade Date	IOIAL	Subtotal	CREDITS	Category
01/25/22	01/24/22	01/24/22	01/24/22	01/24/22	01/18/22	01/18/22	01/07/22 01/11/22 Margin	01/05/22	01107126	alance	Settle Date		al		
Margin	Margin	Margin	Margin	Margin	Margin	Margin	Margin	Margin	e e e e e e e e e e e e e e e e e e e	Margin	Acct Type				
Sell - Securities Sold	Received - Other	Buy - Securities Purchased	Buy - Securities Purchased	 Funds Deposited 	 Funds Deposited 	Buy - Securities Purchased	Buy - Securities Purchased	Buy - Securities Purchased		Sell - Securities Sold	Transaction/ Cash Activity*			01/24/2022	Transaction Date
ROCKET COMPANIES INC COM CL A Regulatory Fee 0.03	FORD MOTOR CO F Jan 21 22 25.0 C EXPIRATION	META MATERIALS INC MMAT Jan 21 22 4.0 P TO CLOSE Regulatory Fee 2.54	META MATERIALS INC MMAT Jan 21 22 4.0 P TO CLOSE Commission/Fee 195.00 Regulatory Fee 0.28	ACH IN	ACH IN	META MATERIALS INC	META MATERIALS INC	FORD MOTOR CO F Jan 21 22 25.0 C TO CLOSE Commission/Fee 0.65 Regulatory Fee 0.01	F Jan 21 22 25.0 C TO OPEN Commission/Fee 13.00 Regulatory Fee 0.23	FORD MOTOR CO	Description	Account Activity		ACH IN	ate Description
RKT	1	ı	ı	1	•	MMAT	MMAT	1			Symbol/ CUSIP		1.14-1.44-1.17		Stiell Betail
200-	19	270	30	1	ι	3,900	20,000	7		20-	Quantity				
13.095	0.00	2.16	2.20	0.00	0.00	2.24	2.45	0.29		\$ 0.10	Price				
2,618.97	ı	(58,322.54)	(6,795.28)	8,000.00	5,000.00	(8,736.00) 202.87	(49,000.00) 8,938.87	(29.66)		\$ 186.77	Amount				
(49,295.98)	(51,914.95)	(51,914.95)	6,407.59	13,202.87	5,202.87	202.87	8,938.87	57,938.87	•	\$57,781.76 57,968.53	Balance		13,000.00	\$ 8,000.00	Amount

Statement for Account # 250-048122 01/01/22 - 01/31/22

Trade Settle Acct Transaction/	Symbol/				
Date Type	CUSIP Q	Quantity	Price	Amount	Balance
01/21/22 01/25/22 Margin Sell - Securities Sold ROCKET COMPANIES INC	RKT	9,600-	13.09 1	125,662.21	76,366.23
Regulatory Fee 1.79					
01/21/22 01/25/22 Margin Buy - Securities Purchased META MATERIALS INC	MIMAT	20,000	1.80 (((36,000.00)	40,366.23
01/27/22 01/31/22 Margin Buy - Securities Purchased META MATERIALS INC	MMAT	15,000	1.55 ((23,250.00)	17,116.23
01/31/22 01/31/22 Margin Div/Int - Expense MARGIN INTEREST CHARGE Payable: 01/31/2022	,	1	0.00	(11.54)	17,104.69
01/31/22 01/31/22 Margin Div/Int - Income INTEREST CREDIT Payable: 01/31/2022		ı	0.00	0.27	17,104.96

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

\$0.27	\$11.54				(Expense)	Total Interest Income/(Expense)
0.0		0.01	6	40,366.23	1	01/25/22
))	11.54	8.00		ı	(51,914.95)	01/24/22
0.0	1	0.07	. თ	5,202.87	ı	01/18/22
0.0	,	0.01) \	8,938.87	1	01/11/22
0.=	1	0.01	ا م	57,938.87	ı	01/05/22
0.0	1	0.01) <u> </u>	57,968.53	ı	01/04/22
₩ 0.0	∀	0.01	· ω	\$ 57,781.76	⇔ 1	01/01/22
Credite	Debited	Rate	of Days	Balance	Balance	Date
Interest	Interest	Interest	Number	Credit	Margin	Begin

BREAKPOINTS

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to http://www.finra.org/industry/issues/breakpoints. For more information on waiver eligibility, please refer to the fund prospectus.

Statement for Account # 250-048122 04/01/22 - 04/30/22

04/27/22	04/27/22	04/27/22	04/21/22	04/20/22	04/18/22	Opening Balance 04/06/22 04/07/	Trade Date	TOTAL	Subtotal			CREDITS Electronic Transfer	Caregory	Category	Total Margin Account	Total Short Options	NIO INC NIO May 06 22 15.0 P	Short Options - Margin	Investment Description		
04/27/22 04/29/22 Margin	04/29/22	04/28/22	04/22/22	04/20/22 04/22/22 Margin	04/19/22	ialance 04/07/22	Settle Date		tal			ransfer			in Account	Options	322 15.0 P	ons - Marg	Description		
Margin	Margin	Margin	Margin	Margin	Margin	Margin	Acct Type								Ĩ			3		Syı	
Buy - Securities Purchased	Buy - Securities Purchased	 Funds Deposited 	 Funds Deposited 	Buy - Securities Purchased	 Funds Deposited 	Buy - Securities Purchased	Transaction/ Cash Activity*			04/	04/	04/	The second secon	Transa		THE PROPERTY OF THE PROPERTY O			CUSIP	Symbol/	
ased	ased			ased		ased			mineral control of the control of th	04/28/2022	04/22/2022	04/19/2022		Transaction Date			100-		Quantity		
META MATERIALS INC	META MATERIALS INC	ACH IN	ACH IN	META MATERIALS INC	ACH IN	CHEWY INC CHWY Apr 29 22 40.0 P TO CLOSE Commission/Fee 6.50 Regulatory Fee 0.10	Description	Acc	Lawrence of the Control of the Contr	ACH IN	ACH IN	ACH IN		Online Cash Services Transaction Detai Bescription		and the second s	0.34		Price	Current	Acco
ি	ন			ন)PTO CLOSE		Account Activity						vices Transac	\$574,107.81	\$(8,870.00)	(3,400.00)		Value	Market	count Positions
MMAT	MMAT	'	1	MMAT	1	1	Symbol/ CUSIP	1.0						tion Deta		COLON TO SERVICE STATE OF THE	03/25/22		Date	Purchase	
100	3,000		í	10,000	1	10	Quantity								\$827,300.19	\$(7,490.44)	(6,633.76)		Basis	Cost	
						ь	70						ŀ				0.66		Cost	Average	
1.17 (117.00)	1.18	0.00	0.00	1.38			Price								\$(253,192.38)	\$(1,379.56)	3,23		Gain(Loss)	Unrealized	
(117:0	(3,540.00)	100.00	2,000.00	(13,800.00)	5,000.00	\$ (1,906.60)	Amount		THE TAXABLE PROPERTY OF THE PR								3,233.76		oss)	lized	
0)			00			u						↔			\$12,138.25	\$0.00	,		Income	Estimated	
40.80	157.80	3,697.80	3,597.80	1,597.80	15,397.80	\$12,304.40 10,397.80	Balance	7,100.00	7,100.00	100.00	2,000.00	5,000.00		Amount	2.1%	0.0%			Yield	ated	

Statement for Account # 250-048122 05/01/22 - 05/31/22

05/10/22 05/12/22 Margin Buy - Securities Purchased	05/06/22 05/09/22 Margin Bu	05/09/22 Margin	05/04/22 05/05/22 Margin -	05/04/22 05/05/22 Margin Bu	05/03/22 05/04/22 Margin Bu	05/03/22 Margin 05/04/22 Margin	05/02/22 Margin 05/03/22 Margin 05/04/22 Margin	05/02/22 Margin 05/02/22 Margin 05/03/22 Margin 05/04/22 Margin	05/02/22 Margin 05/02/22 Margin 05/02/22 Margin 05/03/22 Margin 05/04/22 Margin	05/02/22 Margin 05/02/22 Margin 05/02/22 Margin 05/02/22 Margin 05/03/22 Margin 05/04/22 Margin	05/02/22 Margin 05/02/22 Margin 05/02/22 Margin 05/02/22 Margin 05/02/22 Margin 05/03/22 Margin 05/04/22 Margin	Balance Margin 05/02/22 Margin 05/02/22 Margin 05/02/22 Margin 05/02/22 Margin 05/02/22 Margin 05/02/22 Margin 05/03/22 Margin 05/04/22 Margin
Buy - Securities Purchased	Buy - Securities Purchased	Sell - Securities Sold	- Funds Deposited	Buy - Securities Purchased	Buy - Securities Purchased	- Funds Deposited Buy - Securities Purchased	- Funds Deposited- Funds DepositedBuy - Securities Purchased	Buy - Securities Purchased - Funds Deposited - Funds Deposited Buy - Securities Purchased		hased	hased	hased hased
META MATERIALS INC COM	NIO INC NIO May 06 22 15.0 P TO CLOSE Commission/Fee 65.00 Regulatory Fee 0.99	NEWEGG COMMERCE INC NEGG Jun 10 22 5.0 P TO OPEN Commission/Fee 65.00 Regulatory Fee 1.26	ACH IN	META MATERIALS INC MMAT Jan 20 23 3.0 C TO OPEN Commission/Fee 26.00 Regulatory Fee 0.40	META MATERIALS INC MMAT Jan 19 24 4.0 C TO OPEN Commission/Fee 0.65 Regulatory Fee 0.01	% C Z	MATERIALS INC Jan 19 24 4.0 C ssion/Fee 0.65 ory Fee 0.01	Apr 29 22 40.0 F ssion/Fee 3.25 ory Fee 0.05 ory Fee 0.05 MATERIALS INC Jan 19 24 4.0 C ssion/Fee 0.65 ory Fee 0.01	PIONEER POWER SOLUTIONS INC PPSI COM Regulatory Fee 0.12 CHEWY INC CHEWY Apr 29 22 40.0 P TO CLOSE Commission/Fee 3.25 Regulatory Fee 0.05 ACH IN ACH IN META MATERIALS INC MMAT Jan 19 24 4.0 C TO OPEN Commission/Fee 0.65 Regulatory Fee 0.01	PIONEER POWER SOLUTIONS INC PPSI COM Regulatory Fee 0.02 PIONEER POWER SOLUTIONS INC PPSI COM Regulatory Fee 0.12 CHEWY INC CHWY Apr 29 22 40.0 P TO CLOSE Commission/Fee 3.25 Regulatory Fee 0.05 ACH IN ACH IN	KINDER MORGAN INC COM Regulatory Fee 0.04 PIONEER POWER SOLUTIONS INC COM Regulatory Fee 0.02 PIONEER POWER SOLUTIONS INC COM Regulatory Fee 0.12 CHEWY INC CHEWY INC CHWY Apr 29 22 40.0 P TO CLOSE Commission/Fee 3.25 Regulatory Fee 0.05 ACH IN ACH IN META MATERIALS INC MMAT Jan 19 24 4.0 C TO OPEN Commission/Fee 0.65 Regulatory Fee 0.01	FORD MOTOR CO COM Regulatory Fee 0.17 KINDER MORGAN INC COM Regulatory Fee 0.04 PIONEER POWER SOLUTIONS INC COM Regulatory Fee 0.02 PIONEER POWER SOLUTIONS INC COM Regulatory Fee 0.12 CHWY INC CHWY Apr 29 22 40.0 P TO CLOSE Commission/Fee 3.25 Regulatory Fee 0.05 ACH IN ACH IN META MATERIALS INC MMAT Jan 19 24 4.0 C TO OPEN Commission/Fee 0.65 Regulatory Fee 0.01
MMAT	,	•	1	,	ı	1 1	1 1		SE ' PPSI	INC PPSI	INC PPSI	NC PPSI
2,600 1.	100 0.	100- 0.	- 0,1	1			, ¬	-7 Ω	5 7	_ ω	_ ω	49 ->
1.13 (2,938.00) 5,669.11	0.23 (2,365.99)	0.90 8,933.74	0.00 2,000.00	0.24 (986.40)								\$ 65 \(\phi\) \(\ph\) \(\phi\) \(\phi\) \(\phi\) \(\phi\) \(\phi\) \(\phi\) \(\phi\)
5,669.1	8,607.11	10,973.10	2,039.36	39.36	, ,	1,0 1,0	1,0 1,0	(2,0; 1,0 1,0	3,3 (2,0; 1,0 1,0	2 3,3 (2,0; 1,0 1,0	(18 3,3 (2,0; 1,0 1,0	(\$14,97 (3,40 (18 (18 (18 (2,0) (2,0) (2,0) 1,0 1,0

Statement for Account # 250-048122 07/01/22 - 07/31/22

07/01/22 07/	06/29/22 07/	Opening Balance 06/29/22 07/01/	Trade Su Date D	Total Margin Account	Total Short Options	Short Options - Margin NEWEGG COMMERCE INC NEGG Jan 20 23 5.0 P	Total Options	MULLEN AUTOMOTIVE INC MULN Oct 21 22 1.0 C	META MATERIALS INC MMAT Jan 20 23 3.0 C	META MATERIALS INC MMAT Jan 20 23 2.0 C	META MATERIALS INC MMAT Jan 19 24 4.0 C	CAMBER ENERGY INC CEI Jan 20 23 1.0 C	Options - Margin	Investment Description	
07/05/22	07/01/22	22	Settle Date	ccount	tions	- Margir MMERCE 23 5.0 P	A 500 A 7	MOTIVE 2 1.0 C	ALS INC	ALS INC	ALS INC	RGY INC	3	scription	
Margin	Margin	Margin	Acct Type			,			1	1	,	1			Syı
Buy - Securities Purchased	Buy - Securities Purchased	Buy - Securities Purchased	Transaction/ Cash Activity*			201-		94	40	487		190		CUSIP Quantity	Symbol/
META MATERIALS INC MMAT Jan 20 23 2.0 C TO OPEN Commission/Fee 65.00 Regulatory Fee 0.99	META MATERIALS INC	META MATERIALS INC	Description	Acc	Address Annual A	\$ 2.1984		0.1754		0.1101	0.239	\$ 0.095		Price	Current
C TO OPEN				\$508,354.55 Account Activity	\$(44,187.84)	\$ (44,187.84) 06/03/22	\$9,171.93	1,648.76	332.40	5,361.87	23.90	\$1,805.00		Value	Market
r	MMAT	MMAT	Symbol/ CUSIP			06/03/22		05/20/22	05/04/22	05/12/22	05/03/22	06/06/22		Date	Purchase
100	1,400	5,000	Quantity	\$812,947.22	\$(45,696.86)	\$ (45,696.86)	\$19,288.87	3,932.04	986.40	9,996.38	48.66	\$ 4,325.39		Basis	Cost
0.15		\$ 1.05	Price			\$ 2.27		0.42	0.25	0.21	0.49	\$ 0.23		Cost	Average
	1.04 (1,45	05 \$ (5,250.00)		\$(304,592.67)	\$1,509.02	\$ 1,509.02	\$(10,116.94)	(2,283.28)	(654.00)	(4,634.51)	(24.76)	\$ (2,520.39)		Gain(Loss)	Unrealized
(1,565.99)	(1,456.00)		Amount	\$14,815.95	\$0.00	₩ '	\$0.00	1	1	ı	ı	⇔ '		Income	Estimated
24,328.83	25,894.82	\$32,600.82 27,350.82	Balance	2.9%	0.0%	1	0.0%		1	ı	1	ı		Yield	ated

Statement for Account # 250-048122 08/01/22 - 08/31/22

Category Francisco Description Descr	\$5,768.44								alance	Closing Balance
Transaction Date Description	5,768.44	0.60	0.00	And an advantage of the second		INTEREST CREDIT Payable: 08/31/2022	Div/Int - Income	Margin	08/31/22	08/31/22
Transaction Date Description	5,767.84	(7,900.00)	0.79	10,000	MMAT	META MATERIALS INC	Buy - Securities Purchased	Margin	08/31/22	08/29/22
Transaction Date Description Services Transaction Date Description Services Transaction Date Description Services Services	13,667.84	10,000.00	0.00	1	i	ACH IN	 Funds Deposited 	Margin	08/30/22	08/29/22
Transaction Data Description					38	PFD EQT UNIT DEP REP 1/100 Stock Div/Split on 600 AMC ENTERTAINMENT HOLDING INC COM CL A 1:1 SPINOFF FROM CUSIP 00165C104 Auto Reorg#607296 Payable: 08/19/2022				
Contine Cash Services Transaction Detail Cash Services Transaction Detail	3,667.84	1	0.00	600	3S APE	AMC ENTERTAINMENT HOLDING	Received - Other	Margin	08/22/22	08/22/22
Online Cash Services Transaction Detail Transfer OB/30/2022 ACH IN \$ 11 Transfer Acct Transaction/Date Account Activity Account Activity Account Activity Account Activity Account Activity Amount 500 \$ 21.90 \$ (10,950.00) \$1 Balance 08/11/22 Margin Buy - Securities Purchased COM CL A AMC ENTERTAINMENT HOLDINGS AMC COM CL A 500 \$ 21.90 \$ (10,950.00) \$1 08/15/22 Margin Div/Int - Income KINDER MORGAN INC KMI - 0.00 1,304.25						COM Payable: 08/15/2022 QUALIFIED DIVIDENDS 1304.25		,		
Transfer Dniine Cash Services Transaction Defail Transaction Date Description	3,667.84	1,304.25	0.00	ı	KMI	INC COM CL A KINDER MORGAN INC	Div/Int - Income	Margin		08/15/22
Transfer 08/30/2022 ACH IN Settle Type Cash Activity* Online Cash Services Transaction Detail Description ACCOUNT Activity Price Amount Online Cash Services Transaction Detail ACCH IN Services Transaction Detail Symbol/ CUSIP Quantity Price Amount	\$13,313.59 2,363.59	\$ (10,950.00)	\$ 21.90	500	S AMC	AMC ENTERTAINMENT HOLDING	Buy - Securities Purchased	Margin	alance 08/11/22	Opening B 08/09/22
Online Cash Services Transaction Detail Transaction Date Description Transfer 08/30/2022 ACH IN Account Activity Online Cash Services Transaction Detail \$ Account Activity	Balance	Amount	Price	Quantity	Symbol/ CUSIP	Description	Transaction/ Cash Activity*	Acct Type	Settle Date	Trade Date
Online Cash Services Transaction Detail Transaction Date Description Transfer 08/30/2022 ACH IN \$					<i>ড়</i>					
Online Cash Services Transaction Detail Transaction Date Description Transfer 08/30/2022 ACH IN \$	10,000.00					er ser en	The state of the s	i Lindy Palentin		TOTAL
Transfer 08/30/2022 ACH IN Online Cash Services Transaction Detail Description Services Transaction Detail	10,000.00								al	Subto
Online Cash Services Transaction Detail Transaction Date Description		€				ACH IN	08/30/2022		ransfer	CREDITS Electronic T
Online Cach Services Transaction Detail	Amount						Transaction Da			Category
					Sadion Delai					

Closing Balance
*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Statement for Account # 250-048122 11/01/22 - 11/30/22

Trade Date	Settle Date	Acct Type	Transaction/ Cash Activity*	Description	Symbol/ CUSIP	Quantity	Price	Amount	
ပ် 🚾	Balance 11/03/22	Margin	- Funds Deposited	ACH IN	\$	1	\$ 0.00	\$ 4,000.00	\$398.24 4,398.24
11/10/22	11/15/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	3,000	1.25	(3,750.00)	
11/15/22	11/15/22	Margin	Div/Int - Income	KINDER MORGAN INC COM Payable: 11/15/2022 QUALIFIED DIVIDENDS 1304.25	XM		0.00	1,304.25	
11/15/22	11/16/22	Margin	- Funds Deposited	ACH IN	ł	•	0.00	5,000.00	
11/16/22	11/18/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	700	9.30	(6,516.95)	
11/17/22	11/21/22	Margin	Sell - Securities Sold	PIONEER POWER SOLUTIONS INC PPSI COM Regulatory Fee 0.16	INC PPSI	800-	3.14	2,511.84	
11/17/22	11/21/22	Margin	Sell - Securities Sold	PIONEER POWER SOLUTIONS INC PPSI COM Regulatory Fee 0.04	INC PPSI	200-	3.131	626.16	
11/17/22	11/21/22	Margin	Sell - Securities Sold	PIONEER POWER SOLUTIONS INC PPSI COM Regulatory Fee 0.81	INC PPSI	4,000-	3.1301	12,519.59	
11/17/22	11/21/22	Margin	Sell - Securities Sold	PIONEER POWER SOLUTIONS INC PPSI COM Regulatory Fee 0.40	INC PPSI	2,000-	3.1438	6,287.20	
11/17/22	11/21/22	Margin	Buy - Securities Purchased	META MATERIALS INC PFD SER A *CLBL Commission/Fee 6.95	MMTLP	1,173	8.95	(10,505.30)	
11/17/22	11/21/22	Margin	Buy - Securities Purchased	META MATERIALS INC	MMAT	6,900	1.70	(11,730.00)	
11/17/22	11/21/22	Margin	Buy - Securities Purchased	META MATERIALS INC COM	MMAT	100	1.6831	(168.31)	
11/17/22	11/21/22	Margin	Sell - Securities Sold	PIONEER POWER SOLUTIONS INC PPSI COM Regulatory Fee 0.50	INC PPSI	2,482-	3.14	7,792.98	
11/17/22	11/21/22	Margin	Sell - Securities Sold	PIONEER POWER SOLUTIONS INC PPSI COM Regulatory Fee 0.51	INC PPSI	2,518-	3.11	7,830.47	

Statement for Account # 250-048122 04/01/23 - 04/30/23

						÷6.95	COM Commission/Fee 6.95					
14,041.38	(3,206.95)		1.60	2,000	GTII	GLOBAL TECH INDUSTRIES GRP	GLOBAL TECH	Buy - Securities Purchased		Cash	04/17/23	04/13/23
\$20,448.33 17,248.33	(3,200.00)	€9	\$ 0.40	8,000	MMAT	ILS INC	META MATERIALS INC	Buy - Securities Purchased		Cash	Balance 04/14/23	Opening Balance 04/12/23 04/14/
Balance	Amount		Price	Quantity	Symbol/ CUSIP		Description	ction/ ctivity*	Transaction/ Cash Activity*	Acct Type	Settle Date	Trade Date
(13,000.00)						Account Activity						TOTAL
(13,000.00) (13,000.00)	€	*Andrews				ACH OUT - 04/17/2023 05:52PM	ACH OUT - (04/18/2023			Transfer otal	DEBITS Electronic Transfer Subtotal
Amount					action Detai	Online Cash Services Transaction Deta Description		Transaction Date				Category
96 4.5%	\$11,182.96	\$(455,353.11)	40	\$706,275.51		\$250,922.40					Total Cash Account	Total Cas
0.0%	\$0.00	\$(48.26)		\$48.66	T CONTRACTOR	\$0.40	444				ons	Total Options
	(\$ (48.26)	\$ 0.49	\$ 48.66	05/03/22	\$ 0.40	\$ 0.004	_	ı	CC	Options - Cash META MATERIALS INC MMAT Jan 19 24 4.0 C	Options - Cash META MATERI MMAT Jan 19 2
)6 4.5%	\$11,182.96	\$(455,304.85)	€	\$706,226.85		\$250,922.00	The state of the s				KS	Total Stocks
The same of the sa		(130,465.29)	3.26	130,465.29	02/09/22	NA	NA	40,000	6DA993019		DGE	NEXT BRIDGE HYDROCARBONS COM
	1	(341,065.68)	2.32	370,537.68	06/24/21	29,472.00	0.1842	160,000	MMAT		META MATERIALS INC	META MATER
-					Printer Constant	40100	1 1100	Quality	COSIT	O.	Investment Description	Investmen
ome Yield	Income	Unrealized Gain(Loss)	Average Cost	Cost Basis	Purchase Date	Market Value	Current	Ougntity	Symbol/			

Statement for Account # 250-048122 05/01/23 - 05/31/23

			Acco	Account Positions	7	~	A		П - - i i i	>+> d
	Symbol/		Current	Market	Market Purchase	Cost	Average	Unrealized	Estimated	ated
Investment Description	CUSIP	Quantity	Price	Value	Date	Basis	Cost	Gain(Loss)	Income	Yield
Stocks - Cash										
META MATERIALS INC COM	MMAT	190,000	0.21	39,900.00	06/24/21	376,087.68	1.98	(336,187.68)	1	1
NEXT BRIDGE HYDROCARBONS COM	6DA993019	40,000	NA	N _A	02/09/22	130,465.29	3.26	(130,465.29)	The state of the s	1
Total Stocks			annoted by the second of the s	\$260,106.00	TOTAL TATAL	\$711,776.85		\$(451,670.85)	\$11,181.94	4.3%
Options - Cash										
META MATERIALS INC MMAT Jan 19 24 4.0 C	ı	1	\$ 0.0121	\$1.21	05/03/22	\$ 48.66	\$ 0.49	\$ (47.45)	€5	1
Total Options				\$1.21		\$48.66		\$(47.45)	\$0.00	0.0%
Total Cash Account				\$260,107.21		\$711,825.51		\$(451,718.30)	\$11,181.94	4.3%
Category	1	Transaction Date	Description	VIOLE LEUSE	846					Amount
CREDITS										
Electronic Transfer Subtotal		05/02/2023	ACH IN						€: N N	20,000.00
TOTAL									N	20,000.00
			Acc	Account Activity						
Trade Settle A	Acct Transaction/ Type Cash Activity*		Description		Symbol/ CUSIP	Quantity	Price		Amount	Balance
Opening Balance 05/01/23 05/02/23 C 05/01/23 05/03/23 C	Cash - Funds Deposited Cash Buy - Securities Purchased		ACH IN META MATERIALS INC COM	NO.	- MMAT	30,000	\$ 0.00 0.185	↔		\$1,044.69 21,044.69 15,494.69

Statement for Account # 250-048122

06/01/23 - 06/30/23

\$14.299.86								-	
14,299.86	5.08	0.00		2	INTEREST CREDIT Payable: 06/30/2023	Div/Int - Income		06/30/23 Cash	06/30/23
14,294.78	(4,000.00)	0.20	20,000	MMAT	META MATERIALS INC COM	Buy - Securities Purchased		06/22/23 06/26/23 Cash	06/22/23
Balance	Amount	Price	Quantity	Symbol/ CUSIP	Description	Transaction/ Cash Activity*	Acct Type	Settle Acct Date Type	Trade Date

*For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.

Total Interest Income/(Expense	06/26/23	06/01/23	Date	Begin	
/(Expense)	1	↔.	Balance	Margin	
	14,294.78	\$ 18,294.78	Balance	Credit	TD Ameritrade (
	51	25	of Days	Number	dit/Expe
	0.35	0.35	Rate	Interest	TSE
\$ 0.00		\$	Debited	Interest	
\$5.08	0.69	\$ 4.39	Credited	Interest	

BREAKPOINTS

http://www.finra.org/industry/issues/breakpoints. For more information on waiver eligibility, please refer to the fund prospectus. Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to

Important Information

IDA FEATURE DURING TRANSITION

If your TD Ameritrade account was recently transitioned to a Charles Schwab & Co., Inc. ("Schwab") account, this account statement reflects cash in your account being redeemed from the TD Ameritrade Insured Deposit Account (IDA) feature. In fact, the cash in your IDA feature remained at the Program Bank(s) during this time period and remained eligible for FDIC insurance coverage up to the applicable limits throughout the transition process.

FINRA INFORMATION

Required Annual FINRA Information: Investors may request disclosable background information on any U.S. licensed broker or broker-dealer via FINRA's BrokerCheck, a free online tool. Visit brokercheck finra.org. Additionally, FINRA has a brochure which describes the BrokerCheck program. You may request this brochure either through the FINRA web site www.finra.org or by calling (800) 289-9999, the BrokerCheck Hotline Number.

Statement for Account # 250-048122 08/01/23 - 08/31/23

90	08		08	08.	Op	.	Tot	Tot	MM P	Inv	
08/24/23	08/24/23		08/24/23	08/04/23	Opening Balance 07/31/23 08/02/	Trade Date	Total Cash Account	Total Options	Options - Cash META MATERIA MMAT Jan 192	estment	
08/24/23	08/24/23		08/24/23	08/08/23	alance 08/02/23	Settle Date	Account	าร	Options - Cash META MATERIALS INC MMAT Jan 19 24 4.0 C	Investment Description	
Cash	Cash		Cash	Cash	Cash	Acct Type) O -		Sy
Journal - Expense	Received - Other		Delivered - Other	Buy - Securities Purchased	Buy - Securities Purchased	Transaction/ Cash Activity*				CUSIP	Symbol/
				rchased	rchased			- Charles		Quantity	
MANDATORY REORGANIZATION FEE Auto Reorg#652578	AMC ENTERTAINMENT HOLDINGS AMC INC COM CL A 1:10 REVERSE SPLIT TO AMC ENTERTAINMENT HOLDINGS INC 00165C302 Auto Reorg#652578 REVERSE SPLIT	1:10 R/S 8/24/23 00165C302 1:10 REVERSE SPLIT TO AMC 1:10 REVERSE SPLIT TO AMC ENTERTAINMENT HOLDINGS INC 00165C302 Auto Reorg#652578 REVERSE SPLIT	AMC ENTERTAINMENT HOLDINGS 00165C104	META MATERIALS INC	META MATERIALS INC	Description	Accc	- Agent	\$ 0.0084	Price	Current
SANIZATION	NT HOLDINGS TO AMC DLDINGS INC EVERSE	TO AMC DLDINGS INC	NT HOLDINGS	O	Ω		\$51,441.74 Account Activity	\$0.84	\$0.84	Value	Market
00165C104	AMC		00165C104	MMAT	MMAT	Symbol/ CUSIP			05/03/22	Date	ס
ı	පු	3	600-	505	505	Quantity	\$526,120.60	\$48.66	\$ 48.66	Basis	Cost
0.			0.00	0.2442	\$ 0.245	Price			\$ 0.49	Cost	Average
0.00	0.00		00	42		Ce	\$(474,678.86)	\$(47.82)	\$ (47.82)	Gain(Loss)	Unrealized
(38.00)	,		1	(123.30)	\$ (123.72)	Amount	3.86)	'.82)	7.82)		ized
		o					\$0.00	\$0.00	⇔ '	Income	Estimated
34,021.78	34,059.78		34,059.78	34,059.78	\$34,306.80 34,183.08	Balance	0.0%	0.0%		Yield	ited

Statement for Account # 250-048122 09/01/23 - 09/30/23

Quant	Quantity Prio	Quantity Price Amount 300 \$ 0.05 \$ (1,698.30) 5,990 0.2194 (1,314.21)
Online Cash Services Transaction Detail Description ACH IN ACH OUT - 09/25/2023 05:14PM	Detail) Detail
		Price \$ 0.05 \$ (1

Statement for Account # 250-048122 10/01/23 - 10/31/23

		Syn	Symbol/		Current	Market	Market Purchase	Cost	Average	Unrealized	zed	Estimated	ted
Investment Description	scription	CUSIP		Quantity	Price	Value	Date	Basis	Cost	Gain(Loss)		Income	Yield
Options - Cash													
META MATERIALS INC MMAT Jan 17 25 1.0 C	ALS INC 15 1.0 C	1		300	\$ 0.0256	\$ 768.00	09/08/23	\$ 1,698.30	\$ 0.06	\$ (930.30)	30)	(9 '	1
META MATERIALS INC MMAT Jan 19 24 4.0 C	ALS INC	1		_	0.0001	0.01	05/03/22	48.66	0.49	(48.65)	65)	ı	1
					And a complete	\$768.01		\$1,746.96		\$(978.95)	95)	\$0.00	0.0%
Total Options													
Total Cash Account	count	2			Acc	\$34,814.85		\$537,883.11		\$(503,068.26)	26)	\$0.00	0.0%
Total Cash Acc Total Cash South		Acct Type	Transaction/ Cash Activity*		Acc Description		Symbol/ CUSIP	\$537,883.11 Quantity	\$(Price	\$(503,068.	Amount		0.0% Salance
Total Options Total Cash Accou Trade Settl Date Date Opening Balance 09/28/23 10/02/		Acct Type Cash	Transaction/ Cash Activity* Buy - Securities Purchased		RIAI	\$34,814.85 unt Activity	Symbol/ CUSIP	\$537,883.11 Quantity 13,000	\$(Price \$ 0.20	503,0	Amount \$ (2,600.00)	\$0.00 E \$20 17	0 0.0% Balance \$20,068.17
Total Options Total Cash Acc Trade St Date D Opening Balan 09/28/23 10/ 10/18/23 10/		Acct Type Cash	Transaction/ Cash Activity* Buy - Securities Purchased Buy - Securities Purchased		ription N MATERIAI	\$34,814.85	Symbol/ CUSIP MIMAT	\$537,883.11 Quantity 13,000 30,000	\$(Price \$ 0.20	\$	Amount (2,600.00) (6,150.00)	\$0.00 \$20 17	0.0% Balance 20,068.17 17,468.17

\$4.51	\$ 0.00				xpense)	Total Interest Income/(Expense)
		0.35	12	11,318.17	*	10/20/23
	ı	0.35	<u>~</u>	17,468.17		10/02/23
€5	(0.35	<u>.</u>	\$ 20,068.17	\$	10/01/23
Cre	Debited	Rate	of Days	Balance	Balance	Date
int	interest	Interest	Number	Credit	Margin	Begin

BREAKPOINTS

Certain purchases of Class A Mutual Funds may be eligible for breakpoints on, and waivers of, the sales charge. To learn more about breakpoint discounts, go to http://www.finra.org/industry/issues/breakpoints. For more information on waiver eligibility, please refer to the fund prospectus.

Statement for Account # 250-048122 12/01/23 - 12/31/23

Amount		Date		Price	Quantity	Symbol/ CUSIP	Account Symbo	The state of the s	tion	Investment Description	Investme
				Ħ	Trades Pending Settlement						
\$3.11	\$ 0.00							nse)	ıe/(Expe	Total Interest Income/(Expense)	Total Inte
2.46			0.35		25			•		ນ ເ	12/07/23
\$ 0.65	\$ -		0.35	And the state of t	6	\$ 11.325.94		- &		آند	12/01/23
Interest Credited	Interest Debited	—	Interest Rate		Number of Davs	Credit Balance	î î	Margin Ralance		_	Begin
\$10,279.05				iit/Expense	Inferest Cred	tement.	Closing Balance *For Cash Activity totals, refer to the Cash Activity Summary on page one of your statement.	ash Activity Summ	fer to the C	Ralance itivity totals, ref	*For Cash Activity total
10,279.05	3.11	0.00	ı		1	INTEREST CREDIT Payable: 12/29/2023	ncome	Div/Int - Income	Cash	12/29/23	12/29/23
\$11,325.94 10,275.94	\$ (1,050.00)	\$ 0.07	15,000	MMAT	Mn	META MATERIALS INC	Buy - Securities Purchased	Buy - Sec	Cash	Balance 12/07/23	Opening Balance 12/05/23 12/07/
Balance	Amount	Price	Quantity	Symbol/ CUSIP	Sy CL	Description	ion/ tivity*	Transaction/ Cash Activity*	Acct Type	Settle Date	Trade Date
					Account Activity	Accour					
\$0.00 0.0%	\$(518,434.63) \$(\$(51	\$538,920.80	\$53	\$20,486.17					Total Cash Account	Total Casi
\$0.00 0.0%	\$(993.95) \$(Tooler Control of the	\$1,746.96	40	\$753.01		1.000			опѕ	Total Options
ı	(48.65)	0.49	48.66	05/03/22	0.01 05	0.0001		I	^ f	META MATERIALS INC MMAT Jan 19 24 4.0 C	META MA MMAT Jan
,	€	\$ 0.06	\$ 1,698.30	09/08/23 \$	\$753.00 09	\$ 0.0251	300	•		META MATERIALS INC MMAT Jan 17 25 1.0 C	META MA MMAT Jan
										Cash	Options - Cash
ome Yield	Gain(Loss) Income	Cost Ga	Basis	Date	Value	Price	Quantity	CUSIP		Investment Description	Investmer
Estimated	Unrealized	Average U	Cost	ırchase	Market Purchase	Current		Symbol/			

Statement for Account # 250-048122 01/01/24 - 01/31/24

	01/29/24 01/29/24	01/29/24 01/29/24	01/29/24 01/29/24	01/23/24 01/23/24	01/22/24 01/22/24	01/02/24 01/03/24	Opening Balance 12/29/23 01/03/24	Trade Settle Date Date	TOTAL	DEBITS Electronic Transfer Subtotal	Category
01/29/24 Cash	4 Cash	4 Cash	4 Cash	4 Cash	4 Cash	4 Cash	4 Cash	Acct Type			
Received - Other	Delivered - Other	Received - Other	Delivered - Other	Delivered -	Delivered - Other	- Funds Disbursed	Buy - Securities Purchased	Transaction/ Cash Activity*		01/03/2024	Transaction Date
META MATERIALS INC COM	META MATERIALS INC 1:100 R/S 1/29/24 59134N302 1:100 REVERSE SPLIT TO META 1:100 REVERSE SPLIT TO META MATERIALS INC 59134N302 Auto Reorg#662458 REVERSE SPLIT	META MATERIALS INC ## MMAT1 Jan 17 25 1.0 C 1 FOR 100 REVERSE SPLIT	META MATERIALS INC MMAT Jan 17 25 1.0 C 1 FOR 100 REVERSE SPLIT	NEXT BRIDGE HYDROCARBONS COM RECD BALANCE CERT, TAKING CLIENT DOWN	META MATERIALS INC MMAT Jan 19 24 4.0 C EXPIRATION	ACH OUT - 01/02/2024 05:16PM	META MATERIALS INC 1:100 R/S 1/29/24 59134N302	Description	Account Activity	ACH OUT - 01/02/2024 05:16PM	Online Cash Services Transaction Deta
MMAT	59134N104	•	1	6DA993019		1	59134N104	Symbol/ CUSIP	Y	≤	action Detail
2,850	285,000-	300	300-	90-	,	ı	10,000	Quantity			
0.00	0.00	0.00	0.00	0.00	0.00	0.00	\$ 0.066	Price			
1	,		,	r	ı	(8,000.00)	\$ (660.00)	Amount			
1,619.05	1,619.05	1,619.05	1,619.05	1,619.05	1,619.05	1,619.05	\$10,279.05 9,619.05	Balance	(8,000.00)	\$ (8,000.00) (8,000.00)	Amount

10/31-00000-ID2261602-104456

Online Assistance

Wisit us online at schwab.com

VUONG TRAN
DESIGNATED BENE PLAN/TOD

Schwab One® Account of

For the most current records on your account archived up to 10 years online.

VUONG TRAN

Manage Your Account

Call your Schwab Representative **Customer Service and Trading** visit schwab.com/login. Statements are 1-800-435-4000 24/7 Customer Service

DESIGNATED BENE PLAN/TOD PSC 808 BOX 3367 09618-0034 FPO AE

disclosures are at schwab.com/transparency. Charles Schwab & Co., Inc. Member SIPC.

Client Relationship Summaries and Best Interest

Commitment to Transparency

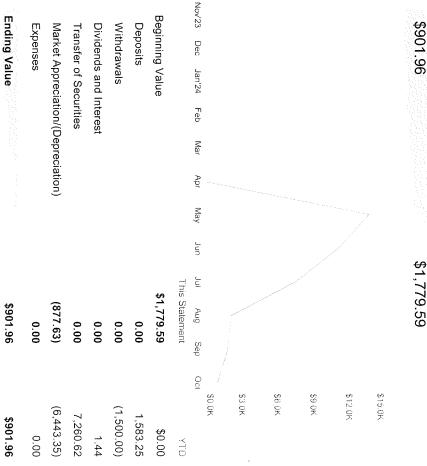
7777-1696 Account Number

October 1-31, 2024 Statement Period

Account Summary

Ending Account Value as of 10/31

\$1,779.59 Beginning Account Value as of 10/01



Account Ending Value reflects the market value of your cash and investments. It does not include pending transactions, unpriced securities or assets held outside Schwab's custody.

AMC

MMATQ

META MATLS INC

202.35 561.92

22%

Total Income

84.69 50.00

AMC ENTMT HLDGS INC

Cash

SYMBOL

Top Account Holdings This Period

CUSIP

Description

GTII

MMAT1

CALL META MATLS INC

3.00

<1%

6% 9%

GLOBAL TECH INDS GROUP I

Gain or (Loss) Summary

Schwab One® Account of

VUONG TRAN
DESIGNATED BENE PLAN/TOD

Asset Allocation





Total



Cash and Cash Investments

814.27 84.69

This Period

Allocation

Current

October 1-31, 2024 Statement Period

Income Summary





\$90

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Jan

Feb

Mar

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May

Jun

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Aug

Sep

00 0.00

Nov

Dec

Schwab One® Interest	Federal Tax Status
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Tax-Exempt

Taxable 0.00

This Period

Accounts

% of

62%

Market Value

0.00

\$0.00

\$0.00

\$0.00

\$1.44

Tax-Exempl 0.00

Laxable 1.44

Dollars (\$)

0.8

0.2

Values may not reflect all of your gains/losses and may be rounded up to the nearest dollar; Schwab has Unrealized (\$408,261.89) This Period

0.00

0.00 0.00

Gain

(Loss)

Short-Term (ST)

Long-Term (LT)

(Loss)

Z et

심

0.00

0.00 0.00 Net

0.00

0.00 0.00

0.00

0.00

0.00 Gain

should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions. Please login to your account at Schwab.com for real-time gain/loss information. Statement information incomplete or unavailable for some of your holdings and may change or be adjusted in certain cases provided accurate gain and loss information wherever possible for most investments. Cost basis may be

Cash

Total Cash and Cash Investments

Cash and Cash Investments

Symbol

Description

Quantity

Price(\$)

Beginning Balance(\$)

Ending Balance(\$)

Change in Period Pending/Unsettled Balance(\$) Cash(\$)

Interest/ Yield Rate

% of Acct

\$84.69

\$84.69 84.69

\$0.00

9% 9%

0.00

0.00

84.69



VUONG TRAN DESIGNATED BENE PLAN/TOD

Schwab One® Account of

Positions - Summary

Beginning Value Transfer of as of 10/01 Securities(In/Out) \$1,779.59

\$0.00

Dividends Reinvested \$0.00

T Cash Activity \$0.00

(\$877.63) Change in Market Value

Tanana.

October 1-31, 2024 Statement Period

Ending Value as of 10/31 \$901.96

Cost Basis

\$409,079.16

(\$408,261.89) Unrealized Gain/(Loss)

and may change or be adjusted in certain cases. Statement information should not be used for tax preparation, instead refer to official tax documents. For additional information refer to Terms and Conditions. Values may not reflect all of your gains/losses, Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis may be incomplete or unavailable for some of your holdings

Positions - Equities

90%	\$0.00		(\$406,566.59)	\$407,380.86 (\$406,566.59)	\$814.27			Total Equities	Total Equities
22%	0.00	N/A	(391,906.56)	392,108.91 1	202.35	0.07100	2,850.0000	MMATQ META MATLS INC	MMATQ
6%	0.00	N/A	(3,156.95)	3,206.95	50.00	0.02500	2,000.0000	GLOBAL TECH INDS GROUP I	GTII
62%	0.00	N/A	(11,503.08)	12,065.00	561.92	4.39000	128.0000	AMC ENTMT HLDGS INC	AMC
% of Acct	Est Annual Income(\$)	t. Yìeld	Unrealized Gain/(Loss)(\$) Est. Yield	Cost Basis(\$)	Market Value(\$)	Price(\$)	Quantity	Description	Symbol